



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

THE COMMISSIONER OF PATENTS AND TRADEMARKS  
BOX FWC  
Washington, D.C. 20231

Attorney's Docket Number: 03495-0059-06000  
(PAST-059-F)

Prior Application:

Art Unit: 185

Examiner: R. Teskin

SIR: This is a request for filing a

☒ Continuation ☐ Continuation-in-part ☐ Divisional application under  
37 CFR 1.62 of pending prior application Serial No. 07/278,136 filed November 30, 1988  
FOR A NOVEL STEROID/THYROID HORMONE RECEPTOR-RELATED GENE WHICH IS INAPPROPRIATELY  
EXPRESSED IN HUMAN HEPATOCELLULAR CARCINOMA AND WHICH IS A RETINOIC ACID RECEPTOR  
(Title of Invention)

by the following named inventor(s).

|                                  |                          |                          |                   |
|----------------------------------|--------------------------|--------------------------|-------------------|
| Full Name of Inventor            | Family Name              | First Given Name         | Second Given Name |
| de The                           | Hughes                   | Blaudin                  |                   |
| Residence & City                 | State or Foreign Country | Country of Citizenship   |                   |
| Citizenship: Paris               | France                   | France                   |                   |
| Post Office Address              | City                     | State & Zip Code/Country |                   |
| Address : 28, rue Rambuteau      | Paris                    | France 75003             |                   |
| Full Name of Inventor            | Family Name              | First Given Name         | Second Given Name |
| Marchio                          | Agnes                    |                          |                   |
| Residence & City                 | State or Foreign Country | Country of Citizenship   |                   |
| Citizenship: Paris               | France                   | France                   |                   |
| Post Office Address              | City                     | State & Zip Code/Country |                   |
| Address : 121, rue de Montreuil  | Paris                    | France 75011             |                   |
| Full Name of Inventor            | Family Name              | First Given Name         | Second Given Name |
| Tiollais                         | Pierre                   |                          |                   |
| Residence & City                 | State or Foreign Country | Country of Citizenship   |                   |
| Citizenship: Paris               | France                   | France                   |                   |
| Post Office Address              | City                     | State & Zip Code/Country |                   |
| Address : 16, rue de la Glaciere | Paris                    | France 75013             |                   |
| Full Name of Inventor            | Family Name              | First Given Name         | Second Given Name |
| Dejean                           | Anne                     |                          |                   |
| Residence & City                 | State or Foreign Country | Country of Citizenship   |                   |
| Citizenship: Paris               | France                   | France                   |                   |
| Post Office Address              | City                     | State & Zip Code/Country |                   |
| Address : 6, rue Nansouty        | Paris                    | France 75014             |                   |

The above-identified prior application in which no payment of the issue fee, abandonment of, or termination of proceedings has occurred, is hereby expressly abandoned as of the filing date of this new application. Please use all the contents of the prior application file wrapper, including the drawings, as the basic papers for the new application.

1. ☐ Enter the amendment previously filed on \_\_\_\_\_ under 37 CFR 1.116 but unentered, in the prior application.
2. ☐ A preliminary amendment is enclosed.
3. ☒ The filing fee is calculated on the basis of the claims existing in the prior application as amended at 1 and 2 above.

| (1) For                                     | (2) Number Filed | (3) Number Extra | (4) Rate | (5) Basic Fee \$630.00     |
|---|------------------|------------------|----------|----------------------------|
| Total                                       | :                | :                | :        | :                          |
| Claims                                      | :                | 44-20=           | :        | 24 : x\$ 20.00= :\$ 480.00 |
| Independent                                 | :                | :                | :        | :                          |
| Claims                                      | :                | 5 -3=            | :        | 2 : x\$ 60.00= : 120.00    |
| Multiple Dependent Claim(s) (if applicable) |                  |                  | :        | +\$200.00= : -             |
| Total                                       |                  |                  | =:       | 1,230.00                   |
| Reduction by > for                          |                  |                  | :        | :                          |
| filing by small entity                      |                  |                  | :        | -                          |
| TOTAL FILING FEE                            |                  |                  | =:       | \$1,230.00                 |

4. ☒ A check in the amount of \$1,230.00 to cover the filing fee is enclosed.
5. ☒ The Commissioner is hereby authorized to charge any fees due under 37 CFR 1.16 and 1.17 which may be required, or credit any overpayment to Deposit Account No. 06-916.
6. ☐ A new declaration is included since this application is a continuation-in-part which discloses and claims additional matter.
7. ☒ Amend the specification by inserting before the first line, the sentence:

*B* This application is a ☐ continuation-in-part, ☒ continuation, ☐ division, of application Serial No. 07/278,136, filed November 30, 1988, *abandoned* 8-28-92

8. ☐ A verified statement claiming small entity status ☐ is enclosed or ☐ is on file in the prior application.
9. ☐ Priority of application Serial No. \_\_\_\_\_ filed on \_\_\_\_\_ in \_\_\_\_\_ (country) is claimed under 35 U.S.C. 119.  
A certified copy ☐ is enclosed or ☐ is on file in the prior application.
10. ☒ The prior application is assigned of record to: Institut Pasteur of Paris, France *3d*
11. ☒ The power of attorney in the prior application is to at least one of the following: Finnegan, Henderson, Farabow, Garrett and Dunner, Reg. No. 22,540; Douglas B. Henderson, Reg. No. 20,291; Ford F. Farabow, Jr., Reg. No. 20,630; Arthur S. Garrett, Reg. No. 20,338; Donald R. Dunner, Reg. No. 19,073; Brian G. Brunsvold, Reg. No. 22,593; Tipton D. Jennings, IV, Reg. No. 20,645; Jerry D. Voight, Reg. No. 23,020; Laurence R. Hefter, Reg. No. 20,827; Kenneth E. Payne, Reg. No. 23,098; Herbert H. Mintz, Reg. No. 26,691; C. Larry O'Rourke, Reg. No. \_\_\_\_\_

26,014; Albert J. Santorelli, Reg. No. 22,610; Michael C. Elmer, Reg. No. 25,857; Richard H. Smith, Reg. No. 20,609; Stephen L. Peterson, Reg. No. 26,325; John M. Romary, Reg. No. 26,331; Bruce C. Zotter, Reg. No. 27,680; Dennis P. O'Reilly, Reg. No. 27,932; Allen M. Sokal, Reg. No. 26,695; Robert D. Bajefsky, Reg. No. 25,387; Richard L. Stroup, Reg. No. 28,378; David W. Hill, Reg. No. 28,220; Thomas L. Irving, Reg. No. 28,619; Charles E. Lipsey, Reg. No. 28,615; Thomas W. Winland, Reg. No. 27,605; Basil J. Lewris, Reg. No. 28,818; Robert J. Gaybrick, Reg. No. 27,890; Martin I. Fuchs, Reg. No. 28,508; and Barry W. Graham, Reg. No. 29,924; Stephen J. Rosenman, Reg. No. 29,209; E. Robert Yoches, Reg. No. 30,120; and Thomas H. Jenkins, Reg. No. 30,857.

12. / X / Please address all correspondence to <sup>69</sup>FINNEGAN, HENDERSON, FARABOW, GARRETT and DUNNER, 1300 I Street, N.W., Washington, D.C. 20005-3315.

13. /    / Recognize as associate attorney 701  
\_\_\_\_\_  
(name, address & Reg. No.)

It is understood that secrecy under 35 U.S.C. 122 is hereby waived to the extent that if information or access is available to any one of the applications in the file wrapper of a 37 CFR 1.62 application, be it either this application or a prior application in the same file wrapper, the Patent and Trademark Office may provide similar information or access to all the other applications in the same file wrapper.

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER

By: Kenneth J. Meyers  
by Robert C. Holling Reg. No. 34,395  
Kenneth J. Meyers

Date: February 1, 1991

Reg. No.: 25,146